



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/836,593 | 04/16/2001 | Daniel B. Penney | 500794.01 |

CONFIRMATION NO. 7865

FORMALITIES LETTER



OC000000006208107

Edward W. Bulchis, Esq.
DORSEY & WHITNEY LLP
Suite 3400
1420 Fifth Avenue
Seattle, WA 98101



Date Mailed: 06/21/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Specification, claims, or abstract pages are not typewritten or mechanically printed in permanent dark ink on one side (See 37 CFR 1.52(a) and (b)).

*A copy of this notice **MUST** be returned with the reply.*

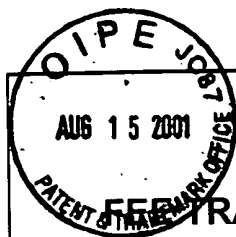
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AUG 17 2001

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Technology Center 2100



FEE TRANSMITTAL SHEET (FOR FY 2001)

| Complete if Known | |
|----------------------|-------------------------|
| Application No. | 09/836,593 |
| Filing Date | April 16, 2001 |
| First Named Inventor | Daniel B. Penney et al. |
| Group Art Unit | 2185 |
| Examiner | Not yet assigned |
| Atty. Docket Number | 500794.01 |

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | |
|---------------------|----------|--------------|----------|---|------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | <input type="checkbox"/> Utility Filing Fee | |
| 106 | 320 | 206 | 160 | <input type="checkbox"/> Design Filing Fee | |
| 108 | 710 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee | |
| 114 | 150 | 214 | 75 | <input type="checkbox"/> Provisional Filing Fee | |
| Subtotal (1) | | | | | \$0 |

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|------------------|-------------|
| Total | 33 | - | 33 = 0 x \$ 18 = | \$ 0 |
| Ind. | 13 | - | 13 = 0 x \$ 80 = | \$ 0 |
| Multiple Dependent Claims | | | | x \$ = \$ |
| Subtotal (2) | | | | \$ 0 |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent Claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | \$ |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 139 | 130 | 139 | 130 | Non-English specification | \$ |
| 147 | 2,520 | 147 | 2,520 | For Filing a Request for Reexamination | \$ |
| 115 | 110 | 215 | 55 | Extension for reply within first month | \$ |
| 116 | 390 | 216 | 195 | Extension for reply within 2 nd month | \$ |
| 117 | 890 | 217 | 445 | Extension for reply within 3 rd month | \$ |
| 118 | 1,390 | 218 | 695 | Extension for reply within 4 th month | \$ |
| 128 | 1,890 | 280 | 945 | Extension for reply within 5 th month | \$ |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | \$ |
| 121 | 260 | 270 | 135 | Request for oral hearing | \$ |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | \$ |
| 140 | 10 | 240 | 55 | Petition to revive - unavoidable | \$ |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | \$ |
| 142 | 1,240 | 242 | 620 | Utility/Reissue issue fee (+ advance copies) | \$ |
| 143 | 440 | 243 | 220 | Design issue fee (+ advance copies) | \$ |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | \$ |
| 126 | 180 | 126 | 180 | Submission of IDS | \$ |
| 581 | 40 | 81 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | \$ |

Other fee (specify)

Subtotal (3) \$0

Total Amount of Payment: \$0

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Technology Center 2100

Submitted by:

| | | |
|-------------------------|------------------|---------------------------|
| Name: Edward W. Bulchis | Reg. No.: 26,847 | Telephone: (206) 903-8800 |
|-------------------------|------------------|---------------------------|

Signature:

Edward W. Bulchis

Date:

August 10, 2001



FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

| | |
|----------------------|-------------------------|
| Application No. | 09/836,593 |
| Filing Date | April 16, 2001 |
| First Named Inventor | Daniel B. Penney et al. |
| Group Art Unit | 2185 |
| Examiner | Not yet assigned |
| Atty. Docket Number | 500794.01 |

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| 108 | 710 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee | |
| 114 | 150 | 214 | 75 | <input type="checkbox"/> Provisional Filing Fee | |
| Subtotal (1) | | | | | \$0 |

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|---------|-----------|
| Total 33 | - 33 | = 0 | x \$ 18 | = \$ 0 |
| Ind. 13 | - 13 | = 0 | x \$ 80 | = \$ 0 |
| Multiple Dependent Claims | | | | x \$ = \$ |
| Subtotal (2) | | | | \$0 |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent Claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | \$ |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
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| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | \$ |
| 140 | 10 | 240 | 55 | Petition to revive - unavoidable | \$ |
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| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | \$ |
| Other fee (specify) | | | | | \$ |
| Subtotal (3) | | | | | \$0 |

Total Amount of Payment: \$0

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Technology Center 2100

Submitted by:

Name: Edward W. Bulchis

Reg. No.: 26,847

Telephone: (206) 903-8800

Signature: *Edward W. Bulchis*

Date: *August 10, 2001*